

Format of holding of specified securities

- 1 Name of Listed Entity: **The Tata Power Company Limited**
- 2 Scrip Code : **500400** / Name of Scrip : **TATAPOWER** / Class of Security : **Equity**
- 3 Share Holding Pattern Filed under: **Reg. 31(1)(b)**
- a. If under 31(1)(b) then indicate the report for Quarter ending **31st December, 2016**
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)					
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held				
								Class eg: X	Class eg: y	Total									(a)	(b)	(a)	(b)
(A)	Promoter & Promoter Group	14	893200466	0	0	893200466	33.02	893200466	0	893200466	33.02	0	0.00	45550000	5.10	893200466						
(B)	Public	299296	1811573044	0	0	1811573044	66.98	1811573044	0	1811573044	66.98	0	0.00	NA		1749978669						
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0						
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	NA		0						
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0						
	Total	299310	2704773510	0	0	2704773510	100.00	2704773510	0	2704773510	100.00	0	0.00	45550000	1.68	2643179135						



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

(1)	Category & Name of the Shareholders	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held (b)	No.		As a % of total shares held (b)
									Class X	Class Y	Total								
									(a)	(b)	(a)								
(1) Indian																			
(a)	Individuals/Hindu undivided Family		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b)	Central Government/ State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(c)	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(d)	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(f)	Bodies Corporate		14	893200466	0	0	893200466	33.02	893200466	0	893200466	33.02	0	0.00	45550000	5.10	893200466		
	(i) Tata Sons Limited	AAACT4060A	5	839799682	0	0	839799682	31.05	839799682	0	839799682	31.05	0	0.00	45550000	5.42	839799682		
	(ii) Tata Steel Limited	AAACT2803M	1	39122725	0	0	39122725	1.45	39122725	0	39122725	1.45	0	0.00	0	0.00	39122725		
	(iii) Tata Investment Corporation Ltd	AAACT4120F	2	6847842	0	0	6847842	0.25	6847842	0	6847842	0.25	0	0.00	0	0.00	6847842		
	(vi) Tata Industries Limited	AAACT4058L	1	4535200	0	0	4535200	0.17	4535200	0	4535200	0.17	0	0.00	0	0.00	4535200		
	(v) Ewart Investments Limited	AAACE2546C	1	2229657	0	0	2229657	0.08	2229657	0	2229657	0.08	0	0.00	0	0.00	2229657		
	(vi) Sir Dorabji Tata Trust	AAATS0494G	1	572880	0	0	572880	0.02	572880	0	572880	0.02	0	0.00	0	0.00	572880		
	(vii) Sir Ratan Tata Trust	AAATS1013P	1	70160	0	0	70160	0.00	70160	0	70160	0.00	0	0.00	0	0.00	70160		
	(viii) J R D Tata Trust	AAATT0165F	1	13200	0	0	13200	0.00	13200	0	13200	0.00	0	0.00	0	0.00	13200		
	(ix) Sheba Properties Ltd	AAECS0591F	1	9120	0	0	9120	0.00	9120	0	9120	0.00	0	0.00	0	0.00	9120		
	Sub-Total (A)(1)		14	893200466	0	0	893200466	33.02	893200466	0	893200466	33.02	0	0.00	45550000	5.10	893200466		
(2) Foreign																			
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b)	Government		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(c)	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(e)	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sub-Total (A)(2)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		14	893200466	0	0	893200466	33.02	893200466	0	893200466	33.02	0	0.00	45550000	5.10	893200466		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)
								Class X	Class Y	Total										
(1) Institutions																				
(a) Mutual Funds/UTI		145	85088973	0	0	85088973	3.15	85088973	0	85088973	3.15	0	0.00	NA				84914553		
(i) IICI Prudential Infrastructure Fund	AAAAI0038F	13	35139387	0	0	35139387	1.30	35139387	0	35139387	1.30	0	0.00	NA				35139387		
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA				0		
(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA				0		
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA				0		
(e) Foreign Portfolio Investors		431	717673161	0	0	717673161	26.53	717673161	0	717673161	26.53	0	0.00	NA				717604181		
(i) Matthews Pacific Tiger Fund	AABTM2313F	1	171620436	0	0	171620436	6.35	171620436	0	171620436	6.35	0	0.00	NA				171620436		
(ii) National Westminster Bank Plc As Depository Of First State Global Emerging Markets Leaders Fund A Sub Fund Of First State Investments Icv	AAACF8106F	1	98366871	0	0	98366871	3.64	98366871	0	98366871	3.64	0	0.00	NA				98366871		
(iii) First State Investments (Hongkong) Limited A/C Stewart Investors Global Emerging Markets Leaders Fund	AAATF1852F	1	32812816	0	0	32812816	1.21	32812816	0	32812816	1.21	0	0.00	NA				32812816		
(f) Financial Institutions/ Banks		169	15745262	0	0	15745262	0.58	15745262	0	15745262	0.58	0	0.00	NA				15259200		
(g) Insurance Companies		88	544550739	0	0	544550739	20.13	544550739	0	544550739	20.13	0	0.00	NA				544520339		
(i) Life Insurance Corporation Of India	AAACL0582H	44	352572379	0	0	352572379	13.04	352572379	0	352572379	13.04	0	0.00	NA				352544479		
(ii) General Insurance Corporation Of India	AAACG0615N	1	68176404	0	0	68176404	2.52	68176404	0	68176404	2.52	0	0.00	NA				68176404		
(iii) The New India Assurance Company Limited	AAACN4165C	1	66954953	0	0	66954953	2.48	66954953	0	66954953	2.48	0	0.00	NA				66954953		
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA				0		
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA				0		
Sub-Total (B)(1)		833	1363058135	0	0	1363058135	50.39	1363058135	0	1363058135	50.39	0	0.00	NA				1362298273		
(2) Central Government/ State Government(s)/ President of India		8	3596669	0	0	3596669	0.13	3596669	0	3596669	0.13	0	0.00	NA				3344109		
Sub-Total (B)(2)		8	3596669	0	0	3596669	0.13	3596669	0	3596669	0.13	0	0.00	NA				3344109		
(3) Non-Institutions																				
(a) Individuals -		296081	385895488	0	0	385895488	14.27	385895488	0	385895488	14.27	0	0.00	NA				326536242		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		296023	370832797	0	0	370832797	13.71	370832797	0	370832797	13.71	0	0.00	NA				311473551		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		58	15062691	0	0	15062691	0.56	15062691	0	15062691	0.56	0	0.00	NA				15062691		
(b) NBFCs registered with RBI		10	50161	0	0	50161	0.00	50161	0	50161	0.00	0	0.00	NA				50161		



Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV)
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not applicable)	
Class X	Class Y	Total	(a)	(b)	(a)	(b)														
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0			
(d) Overseas Depositories (holding DRs) (balancing figure)		4	3884600	0	0	3884600	0.14	3884600	0	3884600	0.14	0	0.00	0	0.00	NA	3883300			
(e) Any Other (specify)		2360	55087991	0	0	55087991	2.04	55087991	0	55087991	2.04	0	0.00	0	0.00	NA	53866584			
(i) Bodies Corporate		1424	38034848	0	0	38034848	1.41	38034848	0	38034848	1.41	0	0.00	0	0.00	NA	36830941			
(ii) Clearing Members		775	5571152	0	0	5571152	0.21	5571152	0	5571152	0.21	0	0.00	0	0.00	NA	5571152			
(iii) Limited Liability Partnership - LLP		31	409202	0	0	409202	0.02	409202	0	409202	0.02	0	0.00	0	0.00	NA	409202			
(iv) Trusts		72	9813252	0	0	9813252	0.36	9813252	0	9813252	0.36	0	0.00	0	0.00	NA	9802152			
(v) Directors & their relatives		1	20600	0	0	20600	0.00	20600	0	20600	0.00	0	0.00	0	0.00	NA	20600			
(vi) OCBs/Foreign Cos		4	10400	0	0	10400	0.00	10400	0	10400	0.00	0	0.00	0	0.00	NA	4000			
(vii) Foreign Inst. Investors - DR		1	36900	0	0	36900	0.00	36900	0	36900	0.00	0	0.00	0	0.00	NA	36900			
(viii) OCBs/Foreign Bodies - DR		1	909437	0	0	909437	0.03	909437	0	909437	0.03	0	0.00	0	0.00	NA	909437			
(ix) Foreign Nationals - DR		51	282200	0	0	282200	0.01	282200	0	282200	0.01	0	0.00	0	0.00	NA	282200			
Sub-Total (B)(3)		298455	444918240	0	0	444918240	16.45	444918240	0	444918240	16.45	0	0.00	0	0.00	NA	384336287			
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		299296	1811573044	0	0	1811573044	66.98	1811573044	0	1811573044	66.98	0	0.00	0	0.00	NA	1749978669			

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form				
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV) (Not Applicable)	
									No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not appli-cable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total											
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0				
(a)	Name of DR Holder (if available)																					
(i)	abc,...																					
(ii)	efg,...																					
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0		0				
(a)	Name (abc...																					
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0				

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

