

Format of holding of specified securities

- 1 Name of Listed Entity: **The Tata Power Company Limited**
- 2 Scrip Code : **500400** / Name of Scrip : **TATAPOWER** / Class of Security : **Equity**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(b)
 - a. If under 31(1)(b) then indicate the report for Quarter ending **31st December, 2020**
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*	Promoter and Promoter Group	Public Shareholder	Non Promoter - Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	-	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	-	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	-	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	-	No	Yes	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	-	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-	Yes		
7	Whether company has equity shares with differential voting rights?	-	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	-	No	No	No	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

(I) Category	(II) Category of shareholder	(III) Nos. of shareholders	(IV) No. of fully paid up equity shares held	(V) No. of Partly paid-up equity shares held	(VI) No. of shares underlying Depository Receipts	(VII) = (IV)+(V)+ (VI) Total nos. shares held	(VIII) Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	(IX) Number of Voting Rights held in each class of securities				(X) No. of Shares Underlying Outstanding convertible securities (including Warrants)	(XI)= (VII)+(X) Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	(XII) Number of Locked in shares		(XIII) Number of Shares pledged or otherwise encumbered		(XIV) Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class eg: X	Class eg:y	Total								
								(a)	(b)	(a)	(b)			(a)	(b)	(a)	(b)	
(A)	Promoter & Promoter Group	6	1497257565	0	0	1497257565	46.86	1497257565	0	1497257565	46.86	0	46.86	1405741646	93.89	38771375	2.59	1497257565
(B)	Public	554354	1698081982	0	0	1698081982	53.14	1698081982	0	1698081982	53.14	0	53.14	0	0.00	NA		1659422749
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	0	0.00	NA		0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
	Total	554360	3195339547	0	0	3195339547	100.00	3195339547	0	3195339547	100.00	0	100.00	1405741646	43.99	38771375	1.21	3156680314

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	Shareholder Type	
								(IX)					Total as a % of Total Voting rights	(XII)		(XIII)			
								No of Voting Rights						No.	As a % of total Shares held	No.			As a % of total shares held
								Class X	Class Y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2			(XII)	(XIII)	(XIV)	(XV)	
(1) Indian																			
(a) Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
(b) Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
(d) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
(i) Bodies Corporate		6	1497257565	0	0	1497257565	46.86	1497257565	0	1497257565	46.86	0	46.86	1405741646	93.89	38771375	2.59	1497257565	
(i) Tata Sons Private Limited	AAACT4060A	1	1444513021	0	0	1444513021	45.21	1444513021	0	1444513021	45.21	0	45.21	1405741646	97.32	38771375	2.68	1444513021	Promoter
(ii) Tata Steel Limited	AAACT2803M	1	39122725	0	0	39122725	1.22	39122725	0	39122725	1.22	0	1.22	0	0.00	0	0.00	39122725	Promoter Group
(iii) Tata Investment Corporation Ltd	AAACT4120F	1	6847842	0	0	6847842	0.21	6847842	0	6847842	0.21	0	0.21	0	0.00	0	0.00	6847842	Promoter Group
(iv) Tata Industries Limited	AAACT4058L	1	4535200	0	0	4535200	0.14	4535200	0	4535200	0.14	0	0.14	0	0.00	0	0.00	4535200	Promoter Group
(v) Ewart Investments Limited	AAACE2546C	1	2229657	0	0	2229657	0.07	2229657	0	2229657	0.07	0	0.07	0	0.00	0	0.00	2229657	Promoter Group
(vi) Tata Motors Finance Limited	AAECS0591F	1	9120	0	0	9120	0.00	9120	0	9120	0.00	0	0.00	0	0.00	0	0.00	9120	Promoter Group
(ii) Trust		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
Sir Dorabji Tata Trust (Mr R N Tata) (Mr V Srinivasan) (Mr. V Singh) (Mr R K Krishna Kumar) (Mr. Pramit Jhaveri)	AAATS0494G	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
Sir Ratan Tata Trust (Mr R N Tata) (Mr V Srinivasan) (Mr. V Singh) (Mr J N Tata) (Mr R K Krishna Kumar) (Mr. N N Tata) (Mr. Jehangir H C Jehangir)	AAATS1013P	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
JRD Tata Trust (Mr R N Tata) (Mr V Srinivasan) (Mr. V Singh)	AAATT0165F	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
Sub-Total (A)(1)		6	1497257565	0	0	1497257565	46.86	1497257565	0	1497257565	46.86	0	46.86	1405741646	93.89	38771375	2.59	1497257565	

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	Shareholder Type					
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)				(XIII)		(XIV)	(XV)	
								No of Voting Rights							Total as a % of Total Voting rights	No.			As a % of total Shares held	No.			As a % of total shares held
								Class X	Class Y	Total													
(2) Foreign																							
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0					
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0					
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0					
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0					
(e) Any Other (specify)																							
Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0					
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		6	1497257565	0	0	1497257565	46.86	1497257565	0	1497257565	46.86	0	46.86	1405741646	93.89	38771375	2.59	1497257565					
#Tata AIG General Insurance Company Limited & Tata AIA Life Insurance Company Ltd are not considered part of Promoter Group holds 94,15,861 & 82,19,871 Equity Shares representing 0.29% & 0.26% of the paidup Equity Share Capital.																							
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																							
Note:																							
(1) PAN would not be displayed on website of Stock Exchange(s).																							
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																							

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)	
								No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)
								Class X	Class Y										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = IV+V+VI	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)	(XIII)	(XIV)				
(1) Institutions																			
(a) Mutual Funds/UTI		40	363010750	0	0	363010750	11.36	363010750	0	363010750	11.36	0	0.00	NA		362902890			
(i) Icici Prudential Bharat Consumption Funds*	AAAAI0038F	1	180906686	0	0	180906686	5.66	180906686	0	180906686	5.66	0	0.00	NA		180906686			
(ii) Franklin India Equity Advantage Funds*	AAATT4931H	1	42747715	0	0	42747715	1.34	42747715	0	42747715	1.34	0	0.00	NA		42747715			
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0			
(c) Alternate Investment Funds		2	9220479	0	0	9220479	0.29	9220479	0	9220479	0.29	0	0.00	NA		9220479			
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0			
(e) Foreign Portfolio Investors		164	397350304	0	0	397350304	12.44	397350304	0	397350304	12.44	0	0.00	NA		397334504			
(i) Matthews Pacific Tiger Fund	AABTM2313F	1	158179377	0	0	158179377	4.95	158179377	0	158179377	4.95	0	0.00	NA		158179377			
(f) Financial Institutions/ Banks		80	16532089	0	0	16532089	0.52	16532089	0	16532089	0.52	0	0.00	NA		16109967			
(g) Insurance Companies		31	366699066	0	0	366699066	11.48	366699066	0	366699066	11.48	0	0.00	NA		366683966			
(i) Life Insurance Corporation Of India *	AAACL0582H	1	168041559	0	0	168041559	5.26	168041559	0	168041559	5.26	0	0.00	NA		168028459			
(ii) General Insurance Corporation Of India	AAACG0615N	1	42162960	0	0	42162960	1.32	42162960	0	42162960	1.32	0	0.00	NA		42162960			
(iii) HDFC Life Insurance Company Limited	AAACH8755L	1	34268403	0	0	34268403	1.07	34268403	0	34268403	1.07	0	0.00	NA		34268403			
(iv) The New India Assurance Company Limited	AAACN4165C	1	33643839	0	0	33643839	1.05	33643839	0	33643839	1.05	0	0.00	NA		33643839			
(h) Provident Funds/ Pension Funds		1	2556742	0	0	2556742	0.08	2556742	0	2556742	0.08	0	0.00	NA		2556742			
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0			
Sub-Total (B)(1)		318	1155369430	0	0	1155369430	36.16	1155369430	0	1155369430	36.16	0	0.00	NA		1154808548			
(2) Central Government/ State Government(s)/ President of India		8	25609803	0	0	25609803	0.80	25609803	0	25609803	0.80	0	0.00	NA		25362683			
Sub-Total (B)(2)		8	25609803	0	0	25609803	0.80	25609803	0	25609803	0.80	0	0.00	NA		25362683			
(3) Non-institutions																			
(a) Individuals -		537424	417489888	0	0	417489888	13.07	417489888	0	417489888	13.07	0	0.00	NA		386031595			
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		537365	389476325	0	0	389476325	12.19	389476325	0	389476325	12.19	0	0.00	NA		358018032			
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		59	28013563	0	0	28013563	0.88	28013563	0	28013563	0.88	0	0.00	NA		28013563			
(b) NBFCs registered with RBI		6	53175	0	0	53175	0.00	53175	0	53175	0.00	0	0.00	NA		53175			

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV)
								No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)			
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0.00	NA		0			
(d) Overseas Depositories (holding DRs) (balancing figure)		4	422300	0	0	422300	0.01	422300	0	422300	0.01	0	0.01	0	0.00	NA	421000			
(e) Any Other (specify)		16594	99137386	0	0	99137386	3.10	99137386	0	99137386	3.10	0	3.10	0	0.00	NA	92745748			
(i) Bodies Corporate		1292	33241616	0	0	33241616	1.04	33241616	0	33241616	1.04	0	1.04	0	0.00	NA	32269156			
(ii) Clearing Members		366	9738248	0	0	9738248	0.30	9738248	0	9738248	0.30	0	0.30	0	0.00	NA	9738248			
(iii) Limited Liability Partnership - LLP		88	768249	0	0	768249	0.02	768249	0	768249	0.02	0	0.02	0	0.00	NA	768249			
(iv) Trusts		46	1032785	0	0	1032785	0.03	1032785	0	1032785	0.03	0	0.03	0	0.00	NA	1029545			
(v) HUF		6291	10834130	0	0	10834130	0.34	10834130	0	10834130	0.34	0	0.34	0	0.00	NA	10818652			
(vi) NRI		8505	33566952	0	0	33566952	1.05	33566952	0	33566952	1.05	0	1.05	0	0.00	NA	28166492			
(vii) Directors & their relatives		2	716262	0	0	716262	0.02	716262	0	716262	0.02	0	0.02	0	0.00	NA	716262			
(viii) IEPF Suspense A/C		1	8867474	0	0	8867474	0.28	8867474	0	8867474	0.28	0	0.28	0	0.00	NA	8867474			
(ix) OCBs/Foreign Cos		1	4000	0	0	4000	0.00	4000	0	4000	0.00	0	0.00	0	0.00	NA	4000			
(x) OCBs/Foreign Bodies - DR		1	365990	0	0	365990	0.01	365990	0	365990	0.01	0	0.01	0	0.00	NA	365990			
(xi) Foreign Nationals-DR		1	1680	0	0	1680	0.00	1680	0	1680	0.00	0	0.00	0	0.00	NA	1680			
Sub-Total (B)(3)		554028	517102749	0	0	517102749	16.18	517102749	0	517102749	16.18	0	16.18	0	0.00	NA	479251518			
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		554354	1698081982	0	0	1698081982	53.14	1698081982	0	1698081982	53.14	0	53.14	0	0.00	NA	1659422749			
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																				
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc																				
Note:																				
(1) PAN would not be displayed on website of Stock Exchange(s).																				
(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.																				
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																				
(*) Shareholding has been reported based on Common PAN irrespective of the Sub-Accounts																				

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV) (Not Applicable)
									No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not appli-cable)	As a % of total shares held (Not applicable)	
									Class X	Class Y											
(1)	Custodian/DR Holder			0	0	0	0	0.00	0	0	0	0.00	0	0.00				0			
(a)	Name of DR Holder (if available)																				
(i)	abc,...																				
(ii)	efg,...																				
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)			0	0	0	0	0.00	0	0	0	0.00	0	0.00		0		0			
(a)	Name (abc...																				
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)				0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0			

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of share:
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available