

Annexure - I

Format of holding of specified securities

- 1 Name of Listed Entity: **The Tata Power Company Limited**
- 2 Scrip Code : **500400** / Name of Scrip : **TATAPOWER** / Class of Security : **Equity**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(b)
 - a. If under 31(1)(b) then indicate the report for Quarter ending **30th September, 2019**
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*	Promoter and Promoter Group	Public Shareholder	Non Promoter - Non Public
1	Whether the Listed Entity has issued any partly paid up shares?		No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No	No	No	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes		No	Yes	No
4	Whether the Listed Entity has any shares in locked-in?		No	No	No	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes		Yes		
6	Whether the Listed Entity has issued any differential Voting Rights		No	No	No	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
																		(IX)	
								No of Voting Rights					Total as a % of (A+B+C)	As a % of (A+B+C2)	No.	As a % of total Shares held		No.	As a % of total Shares held
								Class eg: X	Class eg:y	Total									
(A)	Promoter & Promoter Group	6	979412749	0	0	979412749	36.21	979412749	0	979412749	36.21	0	36.21	0	0.00	38771375	3.96	979412749	
(B)	Public	336208	1725360761	0	0	1725360761	63.79	1725360761	0	1725360761	63.79	0	63.79	0	0.00	NA		1682874375	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	0	0.00	NA		0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0	
	Total	336214	2704773510	0	0	2704773510	100.00	2704773510	0	2704773510	100.00	0	100.00	0	0.00	38771375	1.43	2662287124	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									(IX)					Total as a % of Total Voting rights	(XII)		(XIII)		
									No of Voting Rights						No.	As a % of total Shares held	No.		As a % of total shares held
									Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2					(XIV)		
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b)	Central Government/ State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(c)	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(d)	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(i)	Bodies Corporate		6	979412749	0	0	979412749	36.21	979412749	0	979412749	36.21	0	36.21	0	0.00	38771375	3.96	979412749
(i)	Tata Sons Private Limited	AAACT4060A	1	926668205	0	0	926668205	34.26	926668205	0	926668205	34.26	0	34.26	0	0.00	38771375	4.18	926668205
(ii)	Tata Steel Limited	AAACT2803M	1	39122725	0	0	39122725	1.45	39122725	0	39122725	1.45	0	1.45	0	0.00	0	0.00	39122725
(iii)	Tata Investment Corporation Ltd	AAACT4120F	1	6847842	0	0	6847842	0.25	6847842	0	6847842	0.25	0	0.25	0	0.00	0	0.00	6847842
(iv)	Tata Industries Limited	AAACT4058L	1	4535200	0	0	4535200	0.17	4535200	0	4535200	0.17	0	0.17	0	0.00	0	0.00	4535200
(v)	Ewart Investments Limited	AAACE2546C	1	2229657	0	0	2229657	0.08	2229657	0	2229657	0.08	0	0.08	0	0.00	0	0.00	2229657
(vi)	Tata Motors Finance Limited	AAECS0591F	1	9120	0	0	9120	0.00	9120	0	9120	0.00	0	0.00	0	0.00	0	0.00	9120
	Sub-Total (A)(1)		6	979412749	0	0	979412749	36.21	979412749	0	979412749	36.21	0	36.21	0	0.00	38771375	3.96	979412749
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b)	Government		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(c)	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(e)	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sub-Total (A)(2)		0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		6	979412749	0	0	979412749	36.21	979412749	0	979412749	36.21	0	36.21	0	0.00	38771375	3.96	979412749
<p>#Tata AIG General Insurance Company Limited & Tata AIA Life Insurance Company Ltd are not considered part of Promoter Group holds 61,45,861 & 82,19,871 Equity Shares representing 0.23% & 0.30% of the paidup Equity Share Capital.</p> <p>Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc</p>																			
<p>Note:</p> <p>(1) PAN would not be displayed on website of Stock Exchange(s).</p> <p>(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.</p>																			

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)		
									(IX)					(X)	(XII)		(XIII)			
									No of Voting Rights						Total as a % of Total Voting rights	No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)
									Class X	Class Y	Total									
(1)	Institutions																			
(a)	Mutual Funds/UTI		44	284561466	0	0	284561466	10.52	284561466	0	284561466	10.52	0	0.00	NA	NA	284432586			
	(i) Icici Prudential Bharat Consumption Funds*	AAAAI0038F	1	121544820	0	0	121544820	4.49	121544820	0	121544820	4.49	0	0.00	NA	NA	121544820			
	(ii) Reliance Capital Trustee Co Ltd- A/C Reliance India Opportunities Funds*	AAATR0090B	1	53098884	0	0	53098884	1.96	53098884	0	53098884	1.96	0	0.00	NA	NA	53098884			
	(iii) Aditya Birla Sun Life Trustee Private Limited A/c Aditya Birla Sun Life Arbitrage Fund*	AAATB0102C	1	31142247	0	0	31142247	1.15	31142247	0	31142247	1.15	0	0.00	NA	NA	31142247			
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0			
(c)	Alternate Investment Funds		2	1031500	0	0	1031500	0.04	1031500	0	1031500	0.04	0	0.00	NA	NA	1031500			
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0			
(e)	Foreign Portfolio Investors		326	653606276	0	0	653606276	24.16	653606276	0	653606276	24.16	0	0.00	NA	NA	65355796			
	(i) Matthews Pacific Tiger Fund	AABTM2313F	1	180316487	0	0	180316487	6.67	180316487	0	180316487	6.67	0	0.00	NA	NA	180316487			
	(ii) First State Investments Icv- Stewart Investors Global Emerging Markets Leaders Fund	AAACF8106F	1	85855700	0	0	85855700	3.17	85855700	0	85855700	3.17	0	0.00	NA	NA	85855700			
	(iii) Stewart Investors Global Emerging Markets Leaders Fund	AAATF1852F	1	47684337	0	0	47684337	1.76	47684337	0	47684337	1.76	0	0.00	NA	NA	47684337			
(f)	Financial Institutions/ Banks		87	19743665	0	0	19743665	0.73	19743665	0	19743665	0.73	0	0.00	NA	NA	19320543			
(g)	Insurance Companies		23	328526430	0	0	328526430	12.15	328526430	0	328526430	12.15	0	0.00	NA	NA	328497330			
	(i) Life Insurance Corporation Of India *	AAACL0582H	1	167467322	0	0	167467322	6.19	167467322	0	167467322	6.19	0	0.00	NA	NA	167440222			
	(ii) The New India Assurance Company Limited	AAACN4165C	1	52193839	0	0	52193839	1.93	52193839	0	52193839	1.93	0	0.00	NA	NA	52193839			
	(iii) General Insurance Corporation Of India	AAACG0615N	1	46862960	0	0	46862960	1.73	46862960	0	46862960	1.73	0	0.00	NA	NA	46862960			
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0			
(i)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0			
	Sub-Total (B)(1)		482	1287469337	0	0	1287469337	47.60	1287469337	0	1287469337	47.60	0	0.00	NA	NA	1286837755			
(2)	Central Government/ State Government(s)/ President of India		8	12090776	0	0	12090776	0.45	12090776	0	12090776	0.45	0	0.00	NA	NA	11843656			
	Sub-Total (B)(2)		8	12090776	0	0	12090776	0.45	12090776	0	12090776	0.45	0	0.00	NA	NA	11843656			
(3)	Non-institutions																			
(a)	Individuals -		322621	336390841	0	0	336390841	12.44	336390841	0	336390841	12.44	0	0.00	NA	NA	301647078			
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		322581	318379728	0	0	318379728	11.77	318379728	0	318379728	11.77	0	0.00	NA	NA	283635965			
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		40	18011113	0	0	18011113	0.67	18011113	0	18011113	0.67	0	0.00	NA	NA	18011113			
(b)	NBFCs registered with RBI		8	37250	0	0	37250	0.00	37250	0	37250	0.00	0	0.00	NA	NA	37250			

	Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									(IX)					(XII)		(XIII)			
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (not applicable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total								
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	NA	0		
(d)	Overseas Depositories (holding DRs) (balancing figure)		4	1832300	0	0	1832300	0.07	1832300	0	1832300	0.07	0	0.00	0	NA	1831000		
(e)	Any Other (specify)		13085	87540257	0	0	87540257	3.24	87540257	0	87540257	3.24	0	0.00	0	NA	80677636		
	(i) Bodies Corporate		1058	35747416	0	0	35747416	1.32	35747416	0	35747416	1.32	0	0.00	0	NA	34706716		
	(ii) Clearing Members		327	8275791	0	0	8275791	0.31	8275791	0	8275791	0.31	0	0.00	0	NA	8275791		
	(iii) Limited Liability Partnership - LLP		43	258540	0	0	258540	0.01	258540	0	258540	0.01	0	0.00	0	NA	258540		
	(iv) Trusts		49	2354418	0	0	2354418	0.09	2354418	0	2354418	0.09	0	0.00	0	NA	2351178		
	(v) HUF		4994	7671806	0	0	7671806	0.28	7671806	0	7671806	0.28	0	0.00	0	NA	7656648		
	(vi) NRI		6603	24116061	0	0	24116061	0.89	24116061	0	24116061	0.89	0	0.00	0	NA	18312938		
	(vii) Directors & their relatives		2	36862	0	0	36862	0.00	36862	0	36862	0.00	0	0.00	0	NA	36862		
	(viii) IEPF Suspense A/C		1	6831981	0	0	6831981	0.25	6831981	0	6831981	0.25	0	0.00	0	NA	6831981		
	(ix) OCBs/Foreign Cos		2	4400	0	0	4400	0.00	4400	0	4400	0.00	0	0.00	0	NA	4000		
	(x) QIB-Insurance Co. Regd.with IRDA		6	2242982	0	0	2242982	0.08	2242982	0	2242982	0.08	0	0.00	0	NA	2242982		
	Sub-Total (B)(3)		335718	425800648	0	0	425800648	15.74	425800648	0	425800648	15.74	0	0.00	0	NA	384192964		
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		336208	1725360761	0	0	1725360761	63.79	1725360761	0	1725360761	63.79	0	0.00	0	NA	1682874375		
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %)																			
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc																			
Note:																			
(1) PAN would not be displayed on website of Stock Exchange(s).																			
(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.																			
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																			

(*) Shareholding has been reported based on Common PAN irrespective of the Sub-Accounts

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV) (Not Applicable)
									No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not appli-cable)	As a % of total shares held (Not applicable)	
									Class X	Class Y											
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0			
(a)	Name of DR Holder (if available)																				
(i)	abc,....																				
(ii)	efg....																				
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0		0			
(a)	Name (abc...																				
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA		0			

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of share:
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available