

Format of holding of specified securities

- 1 Name of Listed Entity: **The Tata Power Company Limited**
- 2 Scrip Code : **500400** / Name of Scrip : **TATAPOWER** / Class of Security : **Equity**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(b)
 - a. If under 31(1)(b) then indicate the report for Quarter ending **30th June, 2020**
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*	Promoter and Promoter Group	Public Shareholder	Non Promoter - Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	-	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	-	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	-	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	-	No	Yes	No
5	Whether the Listed Entity has any shares in locked-in?	-	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-	Yes		
7	Whether company has equity shares with differential voting rights?	-	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	-	No	No	No	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

(I) Category	(II) Category of shareholder	(III) Nos. of shareholders	(IV) No. of fully paid up equity shares held	(V) No. of Partly paid-up equity shares held	(VI) No. of shares underlying Depository Receipts	(VII) = (IV)+(V)+ (VI) Total nos. shares held	(VIII) Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	(IX) Number of Voting Rights held in each class of securities				(X) No. of Shares Underlying Outstanding convertible securities (including Warrants)	(XI)= (VII)+(X) Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	(XII) Number of Locked in shares		(XIII) Number of Shares pledged or otherwise encumbered		(XIV) Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class eg: X	Class eg:y	Total								
(A)	Promoter & Promoter Group	6	1006691528	0	0	1006691528	37.22	1006691528	0	1006691528	37.22	0	37.22	0	0.00	38771375	3.85	1006691528
(B)	Public	446812	1698081982	0	0	1698081982	62.78	1698081982	0	1698081982	62.78	0	62.78	0	0.00	NA		1658105638
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	0	0.00	NA		0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
	Total	446818	2704773510	0	0	2704773510	100.00	2704773510	0	2704773510	100.00	0	100.00	0	0.00	38771375	1.43	2664797166

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Shareholder Type (XV)	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No.			As a % of total shares held
									Class X	Class Y	Total									
									(a)	(b)	(a)				(b)					
(1)	Indian																			
(a)	Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b)	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(c)	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(d)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(f)	Bodies Corporate		6	1006691528	0	0	1006691528	37.22	1006691528	0	1006691528	37.22	0	0.00	38771375	3.85	1006691528			
	(i) Tata Sons Private Limited	AAACT4060A	1	953946984	0	0	953946984	35.27	953946984	0	953946984	35.27	0	0.00	38771375	4.06	953946984	Promoter		
	(ii) Tata Steel Limited	AAACT2803M	1	39122725	0	0	39122725	1.45	39122725	0	39122725	1.45	0	0.00	0	0.00	39122725	Promoter Group		
	(iii) Tata Investment Corporation Ltd	AAACT4120F	1	6847842	0	0	6847842	0.25	6847842	0	6847842	0.25	0	0.00	0	0.00	6847842	Promoter Group		
	(iv) Tata Industries Limited	AAACT4058L	1	4535200	0	0	4535200	0.17	4535200	0	4535200	0.17	0	0.00	0	0.00	4535200	Promoter Group		
	(v) Ewart Investments Limited	AAACE2546C	1	2229657	0	0	2229657	0.08	2229657	0	2229657	0.08	0	0.00	0	0.00	2229657	Promoter Group		
	(vi) Tata Motors Finance Limited	AAECS0591F	1	9120	0	0	9120	0.00	9120	0	9120	0.00	0	0.00	0	0.00	9120	Promoter Group		
(ii)	Trust		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sir Dorabji Tata Trust (Mr R N Tata) (Mr V Srinivasan) (Mr V Singh) (Mr R K Krishna Kumar) (Mr Pramit Jhaveri)	AAATS0494G	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sir Ratan Tata Trust (Mr R N Tata) (Mr V Srinivasan) (Mr V Singh) (Mr J N Tata) (Mr R K Krishna Kumar) (Mr N N Tata) (Mr Jehangir H C Jehangir)	AAATS1013P	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	JRD Tata Trust (Mr R N Tata) (Mr V Srinivasan) (Mr V Singh)	AAATT0165F	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sub-Total (A)(1)		6	1006691528	0	0	1006691528	37.22	1006691528	0	1006691528	37.22	0	0.00	38771375	3.85	1006691528			
(2)	Foreign																			
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	Shareholder Type				
								(IX)						Total as a % of Total Voting rights	(XI) = (VII)+(X) as a % of A+B+C2	(XII)				(XIII)		(XIV)	(XV)
								No of Voting Rights			Total					No.	As a % of total Shares held			No.	As a % of total shares held		
								Class X	Class Y	Total													
(d) Foreign Portfolio Investor			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0					
(e) Any Other (specify)																							
Sub-Total (A)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0					
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			6	1006691528	0	0	37.22	1006691528	0	1006691528	37.22	0	37.22	0	0.00	38771375	3.85	1006691528					
#Tata AIG General Insurance Company Limited & Tata AIA Life Insurance Company Ltd are not considered part of Promoter Group holds 61,45,861 & 82,19,871 Equity Shares representing 0.23% & 0.30% of the paidup Equity Share Capital.																							
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																							
Note:																							
(1) PAN would not be displayed on website of Stock Exchange(s).																							
(2) The term “Encumbrance” has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																							

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV)
								No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)	
Class X		Class Y	Total	(a)	(b)	(a)	(b)													
(1) Institutions																				
(a) Mutual Funds/UTI		34	385632618	0	0	385632618	14.26	385632618	0	385632618	14.26	0	0.00	NA				385523558		
(i) Icici Prudential Bharat Consumption Funds*	AAAAI0038F	1	241441131	0	0	241441131	8.93	241441131	0	241441131	8.93	0	0.00	NA				241441131		
(ii) Reliance Capital Trustee Co Ltd- A/C Nippon Mutual Funds*	AAATR0090B	1	50927260	0	0	50927260	1.88	50927260	0	50927260	1.88	0	0.00	NA				50927260		
(iii) Franklin India Equity Advantage Funds*	AAATT4931H	1	41377715	0	0	41377715	1.53	41377715	0	41377715	1.53	0	0.00	NA				41377715		
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA				0		
(c) Alternate Investment Funds		8	14420591	0	0	14420591	0.53	14420591	0	14420591	0.53	0	0.00	NA				14420591		
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA				0		
(e) Foreign Portfolio Investors		160	348627714	0	0	348627714	12.89	348627714	0	348627714	12.89	0	0.00	NA				348609914		
(i) Matthews Pacific Tiger Fund	AABTM2313F	1	165316487	0	0	165316487	6.11	165316487	0	165316487	6.11	0	0.00	NA				165316487		
(f) Financial Institutions/ Banks		84	18208325	0	0	18208325	0.67	18208325	0	18208325	0.67	0	0.00	NA				17785203		
(g) Insurance Companies		26	404661108	0	0	404661108	14.96	404661108	0	404661108	14.96	0	0.00	NA				404632008		
(i) Life Insurance Corporation Of India *	AAACL0582H	1	192797618	0	0	192797618	7.13	192797618	0	192797618	7.13	0	0.00	NA				192770518		
(ii) The New India Assurance Company Limited	AAACN4165C	1	52193839	0	0	52193839	1.93	52193839	0	52193839	1.93	0	0.00	NA				52193839		
(iii) General Insurance Corporation Of India	AAACG0615N	1	46862960	0	0	46862960	1.73	46862960	0	46862960	1.73	0	0.00	NA				46862960		
(iv) HDFC Life Insurance Company Limited	AAACH8755L	1	33058935	0	0	33058935	1.22	33058935	0	33058935	1.22	0	0.00	NA				33058935		
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA				0		
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA				0		
Sub-Total (B)(1)		312	1171550356	0	0	1171550356	43.31	1171550356	0	1171550356	43.31	0	0.00	NA				1170971274		
(2) Central Government/ State Government(s)/ President of India		8	25609803	0	0	25609803	0.95	25609803	0	25609803	0.95	0	0.00	NA				25362683		
Sub-Total (B)(2)		8	25609803	0	0	25609803	0.95	25609803	0	25609803	0.95	0	0.00	NA				25362683		
(3) Non-institutions																				
(a) Individuals -		431344	389105342	0	0	389105342	14.39	389105342	0	389105342	14.39	0	0.00	NA				356470624		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		431295	365418485	0	0	365418485	13.51	365418485	0	365418485	13.51	0	0.00	NA				332783767		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		49	23686857	0	0	23686857	0.88	23686857	0	23686857	0.88	0	0.00	NA				23686857		
(b) NBFCs registered with RBI		8	126450	0	0	126450	0.00	126450	0	126450	0.00	0	0.00	NA				126450		

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV)
								No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)			
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA		0			
(d) Overseas Depositories (holding DRs) (balancing figure)		4	422300	0	0	422300	0.02	422300	0	422300	0	0.02	0	0.00	NA		421000			
(e) Any Other (specify)		15136	111267731	0	0	111267731	4.11	111267731	0	111267731	0	4.11	0	0.00	NA		104753607			
(i) Bodies Corporate		1124	35338108	0	0	35338108	1.31	35338108	0	35338108	0	1.31	0	0.00	NA		34353648			
(ii) Clearing Members		403	23749535	0	0	23749535	0.88	23749535	0	23749535	0	0.88	0	0.00	NA		23749535			
(iii) Limited Liability Partnership - LLP		53	942083	0	0	942083	0.03	942083	0	942083	0	0.03	0	0.00	NA		942083			
(iv) Trusts		52	2510814	0	0	2510814	0.09	2510814	0	2510814	0	0.09	0	0.00	NA		2507574			
(v) HUF		5814	9372473	0	0	9372473	0.35	9372473	0	9372473	0	0.35	0	0.00	NA		9356995			
(vi) NRI		7679	28154307	0	0	28154307	1.04	28154307	0	28154307	0	1.04	0	0.00	NA		22643361			
(vii) Directors & their relatives		2	216262	0	0	216262	0.01	216262	0	216262	0	0.01	0	0.00	NA		216262			
(viii) IEPF Suspense A/C		1	8027603	0	0	8027603	0.30	8027603	0	8027603	0	0.30	0	0.00	NA		8027603			
(ix) OCBs/Foreign Cos		1	4000	0	0	4000	0.00	4000	0	4000	0	0.00	0	0.00	NA		4000			
(x) OCBs/Foreign Bodies - DR		1	365990	0	0	365990	0.01	365990	0	365990	0	0.01	0	0.00	NA		365990			
(xi) QIB-Insurance Co. Regd.with IRDA		6	2586556	0	0	2586556	0.10	2586556	0	2586556	0	0.10	0	0.00	NA		2586556			
Sub-Total (B)(3)		446492	500921823	0	0	500921823	18.52	500921823	0	500921823	0	18.52	0	0.00	NA		461771681			
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		446812	1698081982	0	0	1698081982	62.78	1698081982	0	1698081982	0	62.78	0	0.00	NA		1658105638			
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																				
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc																				
Note:																				
(1) PAN would not be displayed on website of Stock Exchange(s).																				
(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.																				
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																				

(*) Shareholding has been reported based on Common PAN irrespective of the Sub-Accounts

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form				
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV) (Not Applicable)	
									No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not appli-cable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total											
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0				
(a)	Name of DR Holder (if available)																					
(i)	abc,...																	NA				
(ii)	efg,...																	NA				
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0				
(a)	Name (abc...																	NA				
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0				

Note
(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to disclose name of all holders holding more than 1% of total number of share:
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available