

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: **THE TATA POWER COMPANY LIMITED**
2. Quarter ending: 31st March 2022

I. Composition of Board of Directors												
Title (Mr./Ms.)	Name of the Director	PAN^s & DIN	Category (Chairperson/ Executive/ Non-Executive/ independent/ Nominee)^s	Initial date of Appointment	Date of Re-appointment	Date of cessation	Tenure*	Date of Birth	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No. of Independent Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	N. Chandrasekaran	PAN ABJPC6349J DIN - 00121863	Chairperson- Non-Executive- Non-Independent	11.02.2017			N.A.	02.06.1963	7	0	0	0
Ms.	Anjali Bansal	PAN AJMPB0292J DIN - 00207746	Non-Executive- Independent	14.10.2016	14.10.2021		66	25.02.1971	4	4	3	0
Ms.	Vibha Padalkar	PAN AAAPP4403G DIN - 01682810	Non-Executive- Independent	14.10.2016	14.10.2021		66	05.05.1968	2	1	3	1
Mr.	Sanjay Vijay Bhandarkar	PAN AAIPB0927D DIN - 01260274	Non-Executive- Independent	14.10.2016	14.10.2021		66	26.03.1968	3	3	3	4
Mr.	K. M. Chandrasekhar	PAN ACYPM5755A DIN - 06466854	Non-Executive- Independent	04.05.2017			59	20.02.1948	1	1	5	0
Mr.	Hemant Bhargava	PAN ACOPB2688P DIN - 01922717	Non-Executive- Nominee	24.08.2017			N.A.	20.07.1959	4	2	3	0
Mr.	Saurabh Agrawal	PAN AAGPA7428L DIN - 02144558	Non-Executive- Non-Independent	17.11.2017			N.A.	13.08.1969	3	0	2	0



I. Composition of Board of Directors												
Title (Mr./Ms.)	Name of the Director	PAN [§] & DIN	Category (Chairperson/ Executive/ Non-Executive/ independent/ Nominee) ^{&}	Initial date of Appointment	Date of Re-appointment	Date of cessation	Tenure*	Date of Birth	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No. of Independent Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Banmali Agrawala	PAN AANPA3617N DIN - 00120029	Non-Executive-Non-Independent	17.11.2017			N.A.	30.04.1963	1	0	0	2
Mr.	Ashok Sinha	PAN AAZPS2933F DIN - 00070477	Non-Executive - Independent	02.05.2019			35	15.02.1952	5	5	2	5
Dr.	Praveer Sinha, CEO & Managing Director	PAN ALJPS8886J DIN - 01785164	Executive	01.05.2018			47	08.04.1962	1	0	0	0
Whether Regular chairperson appointed: Yes												
Whether Chairperson is related to managing director or CEO: No												
<p>[§]PAN of any director would not be displayed on the website of Stock Exchange</p> <p>^{&}Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.</p>												



II. Composition of Committees					
Name of Committee	Whether Regular Chairperson appointed	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)&	Date of Appointment	Date of Cessation
1. Audit Committee of Directors	Yes	Mr. Ashok Sinha	Chairperson-Non-Executive-Independent	13.08.2019	
		Ms. Vibha Padalkar	Non-Executive-Independent	14.10.2016	
		Mr. S. V. Bhandarkar	Non-Executive-Independent	23.04.2017	
		Mr. Saurabh Agrawal	Non-Executive-Non-Independent	01.03.2018	
		Ms. Anjali Bansal	Non-Executive-Independent	13.08.2019	
		Mr. K. M. Chandrasekhar	Non-Executive-Independent	13.08.2019	
2. Nomination & Remuneration Committee	Yes	Mr. S. V. Bhandarkar	Chairperson-Non-Executive-Independent	13.08.2019	
		Mr. N. Chandrasekaran	Non-Executive-Non-Independent	23.04.2017	
		Ms. Vibha Padalkar	Non-Executive-Independent	13.08.2019	
3. Risk Management Committee	Yes	Ms. Vibha Padalkar	Chairperson-Non-Executive-Independent	14.10.2016	
		Mr. Banmali Agrawala	Non-Executive-Non-Independent	01.03.2018	
		Mr. S. V. Bhandarkar	Non-Executive-Independent	13.08.2019	
		Mr. Hemant Bhargava	Non-Executive - Nominee Director	13.08.2019	
		Mr. Ashok Sinha	Non-Executive-Independent	13.08.2019	
4. Stakeholders Relationship Committee	Yes	Mr. Banmali Agrawala	Chairperson-Non-Executive-Non-Independent	01.03.2018	
		Mr. Hemant Bhargava	Non-Executive - Nominee Director	02.05.2019	
		Mr. Anjali Bansal	Non-Executive-Independent	13.08.2019	
5. Corporate Social Responsibility Committee	Yes	Ms. Anjali Bansal	Chairperson-Non-Executive-Independent	23.04.2017	
		Mr. K. M. Chandrasekhar	Non-Executive-Independent	13.08.2019	
		Dr. Praveer Sinha	Executive	02.05.2018	

&Category of directors means executive/non-executive/independent/nominee. If a director fits into more than one category write all categories separating them with hyphen.



III. Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met* Yes/No	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive (in number of days)
28.10.2021	09.02.2022	Yes	10	5	103
	25.03.2022	Yes	10	5	43

* to be filled in only for the current quarter meetings

IV. Meeting of Committees						
	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details) Yes/No	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
Audit Committee	08.02.2022	Yes	6	5	27.10.2021	103
Nomination & Remuneration Committee	25.03.2022	NA	3	2	28.10.2021	147
Risk Management Committee	28.03.2022	Yes	5	3	22.11.2021	125
Stakeholders Relationship Committee	28.03.2022	NA	3	1	30.11.2021	117
Corporate Social Responsibility Committee	08.02.2022	Yes	3	2	27.10.2021	103

*This information has to be mandatorily given for audit committee. For rest of the committees, giving this information is optional.

**to be filled in only for the current quarter meetings

V. Related Party Transactions	
Subject	Compliance status (Yes/No/NA) ^{refer note below}
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Note:

- In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- If status is "No" details of non-compliance may be given here.



VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders Relationship Committee
 - d. Risk management committee (applicable to the top 500 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here: **The previous quarter report was placed before the Board, at its meeting held on 9th February 2022.**

Hanoz Mistry



Name & Designation: Hanoz M. Mistry
Company Secretary & Compliance officer/Managing Director/CEO

ANNEX II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations		
Item	Compliance status (Yes/No/NA) refer note below	If Yes provide link to website. If No / NA provide reasons
As per regulation 46(2) of the LODR:		
a) Details of business	Yes	https://www.tatapower.com/businesses/overview.aspx
b) Terms and conditions of appointment of independent directors	Yes	https://www.tatapower.com/pdf/investor-relations/Terms-&-conditions-of-IDs-appointment.pdf
c) Composition of various committees of board of directors	Yes	https://www.tatapower.com/corporate/leadership/board-committees.aspx
d) Code of conduct of board of directors and senior management personnel	Yes	https://www.tatapower.com/pdf/aboutus/Code-of-Conduct-NEDs.pdf
e) Details of establishment of vigil mechanism/ Whistle Blower policy	Yes	https://www.tatapower.com/pdf/aboutus/whistle-blower-policy-and-vigil-mechanism.pdf
f) Criteria of making payments to non-executive directors	Yes	https://www.tatapower.com/pdf/aboutus/remuneration-policy-for-directors.pdf
g) Policy on dealing with related party transactions	Yes	https://www.tatapower.com/pdf/aboutus/rpt-policy-framework-guidelines.pdf
h) Policy for determining 'material' subsidiaries	Yes	https://www.tatapower.com/pdf/aboutus/policy-for-determining-material-subsiidiaries.pdf
i) Details of familiarization programmes imparted to independent directors	Yes	https://www.tatapower.com/investor-relations/corporate-governance/familiarisation-programme.aspx
j) Email address for grievance redressal and other relevant details	Yes	https://www.tatapower.com/investor-relations/investor-contacts.aspx
k) Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes	https://www.tatapower.com/investor-relations/investor-contacts.aspx
l) Financial results	Yes	https://www.tatapower.com/investor-relations/financial-information.aspx
m) Shareholding pattern	Yes	https://www.tatapower.com/investor-relations/sharehold-pattern.aspx
n) Details of agreements entered into with the media companies and/or their associates	NA	



I. Disclosure on website in terms of Listing Regulations		
Item	Compliance status (Yes/No/NA) refer note below	If Yes provide link to website. If No / NA provide reasons
o) Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes	https://www.tatapower.com/investor-relations/investor-downloads.aspx
p) New name and the old name of the listed entity	NA	
q) Advertisements as per regulation 47 (1)	Yes	https://www.tatapower.com/investor-relations/stock-exchange-intimation.aspx
r) Credit rating or revision in credit rating obtained by the entity for all its outstanding instruments	Yes	https://www.tatapower.com/investor-relations/stock-exchange-intimation.aspx
s) Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes	https://www.tatapower.com/investor-relations/annual-reports-subsidiaries.aspx
As per other regulations of the LODR:		
a) Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes	https://www.tatapower.com/investor-relations/stock-exchange-intimation.aspx
b) Materiality Policy as per Regulation 30	Yes	https://www.tatapower.com/pdf/aboutus/determining-policy.pdf
c) Dividend Distribution policy as per Regulation 43A (as applicable)	Yes	https://www.tatapower.com/pdf/aboutus/dividend-policy.pdf
<i>It is certified that these contents on the website of the listed entity are correct. Yes</i>		



II Annual Affirmations		
Particulars	Regulation Number	Compliance status (Yes/No/NA) refer note below
Independent director(s) have been appointed in terms of specified criteria of 'independence' and / or 'eligibility'	16(1)(b) & 25(6)	Yes
Board composition	17(1), 17(1A) & 17(1B)	Yes
Meeting of board of directors	17(2)	Yes
Quorum of board meeting	17(2A)	Yes
Review of Compliance Reports	17(3)	Yes
Plans for orderly succession for Appointments	17(4)	Yes
Code of Conduct	17(5)	Yes
Fees/compensation	17(6)	Yes
Minimum Information	17(7)	Yes
Compliance Certificate	17(8)	Yes
Risk Assessment & Management	17(9)	Yes
Performance Evaluation of Independent Directors	17(10)	Yes
Recommendation of board	17(11)	Yes
Maximum number of directorship	17A	Yes
Composition of Audit Committee	18(1)	Yes
Meeting of Audit Committee	18(2)	Yes
Composition of Nomination & Remuneration Committee	19(1) & (2)	Yes
Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes
Meeting of Nomination & Remuneration Committee	19(3A)	Yes
Composition of Stakeholder Relationship Committee	20(1), 20(2) and 20(2A)	Yes
Meeting of Stakeholder Relationship Committee	20 (3A)	Yes
Composition and role of Risk Management Committee	21(1),(2),(3),(4)	Yes
Meeting of Risk Management Committee	21(3A)	Yes
Vigil Mechanism	22	Yes
Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes
Approval for material related party Transactions	23(4)	NA
Disclosure of related party transactions on consolidated basis	23(9)	Yes
Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes



II Annual Affirmations		
Particulars	Regulation Number	Compliance status (Yes/No/NA) refer note below
Annual Secretarial Compliance Report	24(A)	Yes
Alternate Director to Independent Director	25(1)	NA
Maximum Tenure	25(2)	Yes
Meeting of independent directors	25(3) & (4)	Yes
Familiarization of independent directors	25(7)	Yes
Declaration from Independent Director	25(8) & (9)	Yes
Directors and Officers insurance	25(10)	Yes
Memberships in Committees	26(1)	Yes
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management Personnel	26(3)	Yes
Disclosure of Shareholding by Non- Executive Directors	26(4)	Yes
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes
Note		
1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.		
2. If status is "No" details of non-compliance may be given here.		
3. If the Listed Entity would like to provide any other information the same may be indicated here.		
III Affirmations:		
The Listed Entity has approved the Material Subsidiary Policy and the Corporate Governance requirements with respect to the subsidiary of Listed Entity have been complied.		
 		
Name & Designation: Hanoz M. Mistry Company Secretary & Compliance officer/Managing Director/CEO		

ANNEXURE IV

Format to be submitted twice a year, on a half yearly basis by the listed entity at the end of every 6 months of the financial year

Half year ending: 31st March 2022

I. Disclosure of Loans / guarantees / comfort letters / securities etc. refer note below

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	Nil	Nil
Promoter Group or any other entity controlled by them	Nil	Nil
Directors (including relatives) or any other entity controlled by them	Nil	Nil
KMPs or any other entity controlled by them	Nil	Nil

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount advanced during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	Nil	Nil	Nil
Promoter Group or any other entity controlled by them	Nil	Nil	Nil
Directors (including relatives) or any other entity controlled by them	Nil	Nil	Nil
KMPs or any other entity controlled by them	Nil	Nil	Nil



(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount advanced during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	Nil	Nil	Nil
Promoter Group or any other entity controlled by them	Nil	Nil	Nil
Directors (including relatives) or any other entity controlled by them	Nil	Nil	Nil
KMPs or any other entity controlled by them	Nil	Nil	Nil

II. Affirmations:

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.

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**Name & Designation: Sanjeev Churiwala
CEO / CFO**



Note

1. These disclosures shall exclude any loan (or other form of debt), guarantee / comfort letter (by whatever name called) or security provided in connection with any loan or any other form of debt;
 - a) by a government company to/ for the Government or government company
 - b) by the listed entity to/for its subsidiary [and joint-venture company] whose accounts are consolidated with the listed entity.
 - c) by a banking company or an insurance company ; and
 - d) by the listed entity to its employees or directors as a part of the service conditions
2. If the Listed Entity would like to provide any other information, the same may be indicated as Para D in the above table.